



7:15 AM

REGISTRATION & BREAKFAST
 SPONSORED BY db-X ETF

MORNING SESSIONS
 (West Lounge - 1st Floor)

7:50 AM - 7:55 AM

WELCOME REMARKS

- Mr. Nicolas Bornozis, President – Capital Link, Inc.

7:55 AM - 8:00 AM

CLOSED VERSUS OPEN-END FUNDS – WHO WAS THE BETTER PERFORMER IN 2011?

- Mr. Lewis Aaron, Founder and Director – Fund Consultants LLC – Conference Chairman

OPENING ADDRESS

8:00 AM - 8:20 AM

THE STATE OF THE CEF INDUSTRY IN 2012

- Mr. Jeff Margolin, Senior Vice President and Closed-End Fund Analyst – First Trust Advisors

8:20 AM - 8:40 AM

THE STATE OF THE ETF INDUSTRY IN 2012

- Mr. Martin Kremenstein, Head of North America ETP Management – db-X Group

8:40 AM - 9:20 AM

REAL ASSETS FOR REAL RETURNS

THE ELEMENTS OF INVESTING IN REAL ASSETS

- Mr. Yigal Jhirad, Senior Vice President & Portfolio Manager – Cohen & Steers

OPPORTUNITIES IN THE MLP MARKET

- Mr. Kenny Feng, President and Chief Executive Officer – Alerian

9:20 AM - 10:00 AM

OUTLOOK AND OPPORTUNITIES FOR THE ASIA-PACIFIC

- Mr. Donald Amstad, Director – Aberdeen Asset Management Asia

10:00 AM - 11:00 AM

FIXED INCOME INVESTING

ROYALTY TRUST

- Mr. Dan Spears, Partner & Portfolio Manager – Swank Capital / Cushing MLP Asset Management

SENIOR LOAN MARKET UPDATE AND OUTLOOK

- Mr. William Housey, Senior Vice President & Senior Portfolio Manager, Leveraged Finance Investment Team – First Trust Advisors

FIXED INCOME ETF PORTFOLIO MANAGEMENT

- Mr. Don Suskind, CFA, Senior Vice President & Head of ETF Product Management – PIMCO

11:00 AM - 11:40 AM

EQUITY & TOTAL RETURN INVESTING

FACTOR INVESTING: MEASURING AND MANAGING FACTOR EXPOSURES

- Mr. David Koenig, Director, Investment Strategy – Russell ETFs

CURRENCY EFFECTS ON INTERNATIONAL EQUITY INVESTMENTS

- Mr. Alex Depetris, Vice President – db-X Group

11:40 AM - 12:40 PM	INVESTING INTERNATIONALLY	<p>THE THIRD COMING OF EMERGING MARKETS</p> <ul style="list-style-type: none"> • Mr. James Upton, Executive Director– Morgan Stanley Investment Management <p>THE MEXICO FUND: MEXICAN ECONOMIC CLIMATE, PERSPECTIVES & INVESTMENT OPPORTUNITIES</p> <ul style="list-style-type: none"> • Mr. Eduardo Solano, Investor Relations Vice President – The Mexico Fund, Inc. <p>TACTICAL OPPORTUNITIES IN GLOBAL MARKETS</p> <ul style="list-style-type: none"> • Mr. Bruno del Ama, Chief Executive Officer – Global X Funds
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**PARALLEL BREAK OUT SESSIONS
(James Room - 2nd Floor)**

8:40 AM - 9:10 AM	CAPITALIZING ON THE ADVANCEMENT TOWARD ENERGY INDEPENDENCE	<ul style="list-style-type: none"> • Mr. Dan Spears, Partner & Portfolio Manager – Swank Capital / Cushing MLP Asset Management
9:20 AM - 10:00 AM	HIGH YIELD & CONVERTIBLES	<p><i>Moderated by:</i> Mr. Michael Jabara, Vice President, Head of Exchange-Traded Fund (ETF) and Closed-End Funds Research – Morgan Stanley Smith Barney</p> <p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. Scott Becker, Senior Vice President & Portfolio Specialist – Calamos Investments • Mr. Gary Russell, CFA, Managing Director – DWS Investments Closed-End Funds • Ms. Jessica Tom, Senior Vice President, Senior Credit Analyst – PIMCO
10:00 AM - 10:40 AM	ALTERNATIVE FIXED INCOME ETFs: “IT’S THE YIELD, STUPID!”	<p><i>Moderated by:</i> Mr. James Pacetti, Head of Business Development – S-Network Global Indexes</p> <p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. Paul Mazzilli, CEO – Mazzilli Advisors and Chairman – S-Network CEF Indexes • Mr. Joseph Becker, Senior Income Product Strategist – Invesco PowerShares • Mr. Ed Lopez, Marketing Director – Market Vectors ETFs
11:00 AM - 11:40 AM	OPPORTUNITIES IN PREFERRED SECURITIES: AN EVOLVING ASSET CLASS IN AN ERA OF FINANCIAL REFORM	<ul style="list-style-type: none"> • Mr. William Scapell, CFA, Senior Vice President & Director of Fixed-Income – Cohen & Steers

11:40 AM - 12:20 PM	LEVERAGE AND DERIVATIVES IN CLOSED-END FUNDS	<p>Moderated by: Mr. Yuriy Layvand, CFA, Director, Fund & Asset Manager Rating Group – Fitch Ratings</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Mr. Greg Fayvilevich, Associate Director, Fund & Asset Manager Rating Group – Fitch Ratings • Mr. Robert Crothers, Director – BlackRock • Mr. Jon Maier, Global Macro Research, Closed-End Fund Analyst and ETF Strategist – Bank of America Merrill Lynch • Mr. Tomer Seifan, Head of Structuring for Global Equities and Commodity Derivatives – BNP Paribas
12:20 PM - 12:50 PM	CAPITAL RAISING FOR CLOSED-END FUNDS & ETFS	<ul style="list-style-type: none"> • Mr. Fletcher Ladd, Global Prime Finance Sales – Deutsche Bank • Mr. Moe Cohen, Managing Director – JonesTrading • Mr. Andy Tuthill, Managing Director, Head of Capital Markets – JonesTrading
3:00 PM - 3:20 PM	WHY GLOBAL BONDS?	<ul style="list-style-type: none"> • Mr. Jack McIntyre, CFA, Portfolio Manager and Senior Research Analyst – Brandywine Global Investment Management, LLC
3:30 PM - 4:10 PM	SCOTT SCHULTZ’S CLOSED END FUND LONG ONLY, TOP PERFORMING STRATEGY	<ul style="list-style-type: none"> • Mr. Scott Schultz, Founder, Manager & Chairman – Scott Schultz Closed End Funds, Inc.

KEYNOTE LUNCHEON ADDRESS

Introductory Remarks
By **Mr. Piers Currie**, Global Head of Marketing – Aberdeen

“The Age of the Enlightened Investor”

By **Mr. Scott Burns**
Director of ETF, Closed-End, and Alternative Fund Research – Morningstar

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2:00 PM - 2:15 PM **CEF, ETF & ANALYST AWARDS CEREMONY**

AFTERNOON SESSIONS
(West Lounge - 1st Floor)

2:30 PM - 3:00 PM	CEF INDUSTRY ROUNDTABLE	<p>CURRENT TRENDS, OPPORTUNITIES & OUTLOOK</p> <p>Moderated by: Mr. Mike Taggart, Director of U.S. Closed-End Fund Research – Morningstar</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Mr. Robert Bush, Director, Closed-End Fund Products – Calamos Investments • Mr. Paul Williams, Managing Director, Product Development – Nuveen Investments, Global Structured Products Group • Mr. Robert Ionescu, Director and Senior Closed-End Fund Product Specialist – Legg Mason • Mr. Robert Shaker, Portfolio Manager – Shaker Financial Services
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3:00 PM - 3:30 PM	ETF INDUSTRY ROUNDTABLE	<p>CURRENT TRENDS, OPPORTUNITIES & OUTLOOK</p> <p><i>Moderated by:</i> Mr. Paul Justice, Director of North American ETF Research – Morningstar</p> <p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. Martin Kremenstein, Head of North America ETP Management – db-X Group • Mr. Edward Rosenberg, Director, Head of ETF Capital Markets & Analytics – Russell ETFs • Mr. Erik Liik, President & CEO – FocusShares • Ms. Deborah Fuhr, Partner & Co-founder – ETF Global Insight
3:30 PM - 4:00 PM	INDICES	<p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. Mark Absy, Senior Index & ETF Manager – International Securities Exchange (ISE) • Mr. Florian Fischer, Global Product Manager – Thomson Reuters Indices
4:00 PM - 4:20 PM	COMMODITY OPPORTUNITIES – A TOTAL RETURN STRATEGY	<ul style="list-style-type: none"> • Mr. Jonathan Spencer, President – Gresham Investment Management LLC
4:20 PM - 4:50 PM	COMMODITY ETFs	<p><i>Moderated by:</i> Ms. Mariana Bush, Closed-End Fund & Exchange-Traded Tracking Products – Wells Fargo Advisors, LLC</p> <p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. John T. Hyland, CFA, CIO – United States Commodity Funds, LLC (USCF) • Mr. Ashmead Pringle, President – GreenHaven LLC • Mr. Jonathan Spencer, President – Gresham Investment Management LLC
4:50 PM - 5:05 PM	OUTLOOK FOR PRECIOUS METALS & ETFs IN 2012	<ul style="list-style-type: none"> • Mr. William Rhind, Managing Director – ETF Securities (US) LLC
5:05 PM - 5:25 PM	EQUITY VOLATILITY MANAGEMENT	<ul style="list-style-type: none"> • Mr. Matthew Moran, Vice President – Chicago Board Options Exchange (CBOE)
5:25 PM - 5:55 PM	ANALYST PANEL	<p><i>Moderated by:</i> Mr. Dennis P. Emanuel, Managing Director, ETFs & Closed-End Funds – Citigroup Investment Research</p> <p><i>Panelists:</i></p> <ul style="list-style-type: none"> • Mr. Jon Maier, Global Macro Research, Closed-End Fund Analyst and ETF Strategist - Bank of America Securities - Merrill Lynch • Ms. Mariana Bush, Closed-End Fund & Exchange-Traded Tracking Products – Wells Fargo Advisors, LLC • Mr. Michael Jabara, Vice President, Head of Exchange-Traded Fund (ETF) and Closed-End Funds Research – Morgan Stanley Smith Barney • Mr. Alex Reiss, Vice President, Closed-End Fund Research – Stifel Nicolaus
6:00 PM - 7:00 PM	COCKTAIL RECEPTION	