



7:30 AM – 7:55 AM	REGISTRATION	
MORNING SESSIONS		
WEST LOUNGE – 1 ST FLOOR		
7:55 AM – 8:00 AM	Welcome Remarks by Nicolas Bornozis , <i>President</i> – Capital Link, Inc.	
INVESTING FOR YIELD		
8:00 AM – 8:40 AM	MLP Investing Through CEFs & ETFs MLP Industry Roundtable	<p>Moderator: Gabriel Moreen, <i>Senior Analyst</i> – Bank of America Merrill Lynch</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Daniel Spears, <i>Partner</i> – Swank Capital • Edward Russell, <i>Managing Director</i> - Tortoise Capital Advisors • Ganesh Jois, <i>Vice President</i> - Goldman Sachs Asset Management • Jay Hatfield, <i>President</i> – Infrastructure Capital Advisors; <i>Portfolio Manager</i> - InfraCap
8:40 AM – 9:20 AM	BDC Industry Roundtable	<p>Moderator: Rich Kendrick, <i>Co-Head of Equity Capital Markets and Senior Managing Director</i> – Stifel</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Howard Levkowitz, <i>Managing Partner</i> - Tennenbaum Capital Partners; <i>Chairman & CEO</i> – TCP Capital Corp. • Terrence W. Olson, <i>Chief Operating Officer and Chief Financial Officer</i> - THL Credit • Michael Mauer, <i>Chief Executive Officer</i> – CM Finance
WEST LOUNGE – 1 ST FLOOR		JAMES ROOM – 2 ND FLOOR
ALTERNATIVE INVESTING		INVESTING FOR INCOME AND TOTAL RETURN

9:20 AM – 10:00 AM	Alternative Income Institute - REITs, MLPs and Preferred Securities	<p>Moderator: Joseph Williams, SVP and National Sales Manager - Cohen & Steers</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jason Yablon, SVP and Portfolio Manager – Global Real Estate Securities – Cohen & Steers • Tyler Rosenlicht, VP and Portfolio Manager – Cohen & Steers • Elaine Zaharis-Nikas, SVP and Portfolio Manager – Preferred Securities – Cohen & Steers 	9:20 AM – 10:00 AM	Uncovering Value in the BDC Industry	Grier Eliasek, <i>President & COO - Prospect Capital Corporation</i>
10:00 AM – 10:20 AM	The How/When/Where & Why for Alternative Investments	John Gambla, <i>Senior Portfolio Manager - First Trust Advisors</i>	10:00 AM – 10:20 AM	Investing in MLP's in a Volatile Crude Oil Environment	Ganesh Jois, <i>Vice President - Goldman Sachs Asset Management</i>
10:20 AM – 11:00 AM	Using CEFs & ETFs in Client Portfolios	<p>Moderator: Chris Dieterich, Funds Editor and Staff Writer – Barron's</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jon Maier, Managing Director, Senior Portfolio Manager, Merrill Lynch ETF Model Portfolios – Bank of America Merrill Lynch • John Cole Scott, Portfolio Manager – Closed-End Fund 	10:20 AM – 11:00 AM	The Energy Landscape and MLP Investment Opportunities	Daniel Spears, <i>Partner – Swank Capital</i>

		Advisors <ul style="list-style-type: none"> • Todd Yannuzzi, <i>Managing Director, Senior Portfolio Management Director, Wealth Advisor – Morgan Stanley</i> • Michael Starr, <i>Senior VP/Investments – Stifel</i> • Robert Hum, <i>Associate Vice President/Analyst - Ladenburg Thalmann Asset Management</i> 			
11:00 AM – 11:20 AM	NETWORKING BREAK				
INTERNATIONAL INVESTING Introduced by: Elias Lanik , <i>Senior Closed-End Fund Analyst – Bank of America Merrill Lynch</i>			CLOSED-END FUND INVESTING		
11:20 AM – 11:40 AM	Emerging Markets Debt: Opportunities and Risks	John DiSpigno , <i>Partner, Portfolio Specialist/Client Relationship Manager - Stone Harbor Investment Partners</i>	11:20 AM – 12:00 PM	Raising Capital for CEFs	Moderator: Jay Spinola , <i>Partner – Willkie Farr & Gallagher LLP</i> Panelists: <ul style="list-style-type: none"> • Edward Russell, <i>Managing Director - Tortoise Capital Advisors</i> • Dietrich Moor, <i>Senior VP – Raymond James Investment Banking</i> • John A. Key, <i>Managing Director UBS Investment Bank</i> • Jerry Raio, <i>Managing Director, Equity Capital Markets - Wells Fargo Securities</i> • Kevin Deignan, <i>Managing Director – Investment Banking - Citi</i>
11:40 AM – 12:00 PM	The Sun Rises in the East: The Case for Investing in Asia	Rennie McConnochie , <i>Senior Business Development Manager – Aberdeen Asset Management</i>			

12:00 PM – 12:20 PM	Ireland-Europe’s Bright Spot Continues to Shine	Eoin Fahy, <i>Chief Economist, Investment Strategist</i> – Kleinwort Benson Investors	USE OF LEVERAGE IN CEFs		
12:20 PM – 12:40 PM	European Equities	Christopher C. Warren, <i>Managing Director - Deutsche Asset & Wealth Management</i>	12:00 PM – 12:40 PM	Rating & Leverage	Moderator: Ian Rasmussen, Senior Director of Fund & Asset Management – Fitch Ratings Panelists: <ul style="list-style-type: none"> • Adam Joseph, Managing Director – Wells Fargo Securities • Andrew Hanson, Managing Director – Morgan Stanley • Christopher Larsen, Director, Closed-End Funds – Legg Mason
RISK MANAGEMENT					
12:40 PM – 1:00 PM	New Study Presents First-Ever List of 119 Funds That Use Options to Manage Volatility and Enhance Yields		Matt Moran, <i>VP, Business Development</i> - CBOE		
LUNCHEON & KEYNOTE ADDRESS					
1:15 PM – 2:15 PM	Asset Allocation During Periods of Market Uncertainty John P. Calamos, Sr., Chairman, CEO and Global Co-CIO – Calamos Investments				
2:15 PM – 2:30 PM	CLOSED-END FUNDS, ETFS & ANALYST AWARDS				
AFTERNOON SESSIONS					
WEST LOUNGE – 1ST FLOOR					
2:50 PM – 3:30 PM	CEF Industry Roundtable		Moderator: Alexander Reiss, Director, Closed-End Fund Research – Stifel Panelists: <ul style="list-style-type: none"> • Dennis Emanuel, Director of ETF & Closed End Fund Strategy - ALPS Portfolio Solutions • Robert Bush, SVP - Calamos Investments • Jonathan Isaac, VP, Director of Product Management - Eaton Vance 		

		Management <ul style="list-style-type: none"> • Keith McRedmond, <i>Vice President, Head of Closed End Funds - Goldman Sachs Asset Management</i>
INNOVATION IN THE ETF SPACE		
3:30 PM – 4:00 PM	Beyond Market Cap Investing: The Evolution of Strategic Beta ETFs	Timothy Devlin , <i>Executive Director and Client Portfolio Manager – J.P. Morgan Asset Management</i> Glenn Smith , <i>Executive Director – J.P. Morgan Asset Management</i>
4:00 PM – 4:20 PM	Actively Managed ETFs – Investing Municipal Debt Securities	John Wilhelm , <i>Senior Vice President – Municipal Bonds – First Trust Advisors</i>
4:20 PM – 4:40 PM	Poliwogg Medical Breakthroughs Index	Sam Wertheimer, PhD , <i>Chief Investment Officer - Poliwogg Healthcare Investments</i>
4:40 PM – 5:00 PM	NextShares – Active Funds Remastered	Kristine Delano , <i>Vice President & Managing Director – Navigate Fund Solutions</i>
5:00 PM – 5:40 PM	ETF Industry Roundtable	Moderator: Tom Champion , <i>Managing Director – Global Index and Exchange Traded Products – NYSE</i> Panelists: <ul style="list-style-type: none"> • Kevin Rich, <i>Founder & CEO – Rich Investment Solutions</i> • Ogden Hammond, <i>Executive Director, Head of ETF Strategy & Business Development - J.P Morgan Asset Management</i> • Kristine Delano, <i>Vice President & Managing Director – Navigate Fund Solutions</i> • Michael Jabara, <i>Executive Director - Morgan Stanley</i>
5:45 PM – 7:00 PM	COCKTAIL RECEPTION	