




7:30 AM – 7:55 AM	REGISTRATION	
MORNING SESSIONS		
WEST LOUNGE – 1 ST FLOOR		
7:55 AM – 8:00 AM	Welcome Remarks	Nicolas Bornozis, <i>President & CEO – Capital Link, Inc.</i>
8:00 AM – 8:40 AM	<p>Where is the Value Today in CEFs? <i>Market Perceptions Vs Fundamentals</i></p> <p>Discussion on various asset classes; Market dislocation and fundamentals in the CEF; Higher Volatility & Outlook for Covered Calls CEFs; Where to invest today</p>	<p>Moderator: Mariana Bush, <i>CFA, Senior Analyst – Wells Fargo Advisors</i></p> <p>Panelists:</p> <ul style="list-style-type: none"> • Rennie McConnochie, <i>Head of Global Banks – Aberdeen Asset Management</i> • Patrick Galley, CFA, <i>Chief Investment Officer & Portfolio Manager – RiverNorth Capital Management LLC</i> • Douglas Bond, <i>Executive Vice President, Portfolio Manager – Cohen & Steers</i> • Robert F. Bush, Jr., <i>Senior Vice President, Director of Closed-End Fund Products – Calamos Investments</i>
OPENING KEYNOTE ADDRESS		
8:40 AM – 9:00 AM		<p>THE CHANGING LANDSCAPE OF FINANCIAL ADVICE</p> <p>Jim Ross, <i>Executive Vice President - State Street Global Advisors (SSGA), Global Head of SPDR ETFs. He also serves as Head of US Intermediary Distribution, and is Chairman of SSGA Funds Management, Inc. (SSGA FM)</i></p>



WEST LOUNGE – 1 ST FLOOR			JAMES ROOM – 2 ND FLOOR		
9:00 AM – 9:20 AM	A New Dimension in ETF Investing <i>John Hancock ETFs</i>	Lukas Smart, Senior Portfolio Manager and Vice President – Dimensional Fund Advisors	9:00 AM – 9:40 AM	Uncovering Value in the BDC Industry	Thomas Alonso, CFA, Vice President Investor Relations – Prospect Capital Corporation
9:20 AM – 9:40 AM	Gold – The Original Alternative	Edward Coyne, Executive Vice President, National Sales - Sprott Asset Management USA, Inc. Trey Reik, Senior Portfolio Manager - Sprott Asset Management USA, Inc.			
9:40 AM – 10:20 AM	Revitalizing IPOs & Secondary Market for CEFs <i>Innovations & Market Support</i> Discuss innovation in the CEFs Space; Secondary market support	Moderator: Rose F. DiMartino, Partner – Willkie Farr & Gallagher LLP Panelists: <ul style="list-style-type: none"> • Robert F. Bush, Jr., Senior Vice President, Director of Closed-End Fund Products – Calamos Investments • Douglas Bond, Executive Vice 	9:40 AM – 10:20 AM	Trends in Closed- End Fund Leverage	Moderator: Greg Fayvilevich, Senior Director, Fund & Asset Manager Rating Group – Fitch Ratings Panelists: <ul style="list-style-type: none"> • Andrew Hanson, Managing Director – Morgan Stanley • Bill Meyers, Managing Director – Nuveen Investments




		<p><i>President, Portfolio Manager – Cohen & Steers</i></p> <ul style="list-style-type: none"> • Stephen Fox, <i>Managing Director – Nuveen Investments</i> • Jonathan Isaac, VP, <i>Director of Product Management – Eaton Vance Management</i> 			<ul style="list-style-type: none"> • Brian Knudsen, <i>Associate Director, Fund & Asset Manager Rating Group – Fitch Ratings</i>
10:20 AM – 10:40 AM	European Equity Update	<p>Christopher Warren, <i>Managing Director – Deutsche Asset Management</i></p>	10:20 AM – 11:00 AM	<p>Advisor Panel – Bringing it all Together in Client Portfolios</p> <p>Panel will discuss how advisors are incorporating CEFs and ETFs in their client's portfolio</p>	<p>Moderator: Elias Lanik, <i>Senior Closed-End Fund Analyst – BofA Merrill Lynch Global Research</i></p> <p>Panelists:</p> <ul style="list-style-type: none"> • Gregg T. Abella, AIF, <i>Co-Principal – Investment Partners Asset Management</i> • John Cole Scott, <i>Chief Investment Officer – Closed-End Fund Advisors</i>



<p>10:40 AM – 11:00 AM</p>	<p>Ireland - ‘Brilliant and balanced’ Recovery Keeps Outpacing the Rest</p>	<p>Noel O’Halloran, Director; Chief Investment Officer – Kleinwort Benson Investors Dublin Ltd</p>			<ul style="list-style-type: none"> Jon Maier, Managing Director, Senior Portfolio Manager – Merrill Lynch ETF Model Portfolios
<p>WEST LOUNGE – 1ST FLOOR</p>					
<p>11:00 AM – 11:30 AM</p>	<p>Fund Initiatives to Maximize Shareholder Value <i>Product Management innovation and Shareholder Outcomes; Fund Consolidation; and Managing Discounts</i></p>	<p>Moderator: Alex Reiss, Director – Stifel</p> <p>Panelists:</p> <ul style="list-style-type: none"> Mike Taggart, Vice President, Director of Closed-End Fund Research – Nuveen Investments Renee Baker, Senior Manager, CEF Marketing & Investor Relations – Aberdeen Asset Management 			
<p>11:30 AM – 11:50 AM</p>	<p>Finding Safe, Stable yield in a Lower for Longer world</p>	<p>Aaron D. Peck, Chief Investment Officer and Chief Financial Officer – Monroe Capital Corporation</p>			



11:50 AM – 12:10 PM	Convertible Securities as an Asset Class	F. Barry Nelson, Partner – Advent Capital Management, LLC
12:10 PM – 12:50 PM	How Smart is SmartBeta?	<p>Moderator: Michael Jabara, Head of ETF and Closed-End Fund Research – Morgan Stanley Smith Barney</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Arne Noack, Director, Exchange Traded Product Development – Deutsche Asset Management • Lukas Smart, Portfolio Manager – Dimensional Fund Advisors • Matthew Schwab, Managing Director - Goldman Sachs Asset Management
LUNCHEON KEYNOTE ADDRESS		
1:00 PM – 2:15 PM		<p style="text-align: center;">Outlook for 2015: Adult Swim Only</p> <p>Henry H. McVey, CIO of KKR's Balance Sheet and Head of Global Macro & Asset Allocation – KKR</p>
2:15 PM - 2:30 PM	CLOSED-END FUNDS, ETFs & ANALYST AWARDS	
AFTERNOON SESSIONS WEST LOUNGE – 1ST FLOOR		
2:45 PM – 3:25 PM	MLPs: Energy Landscape & Beyond	<p>Moderator: Selman Akyol, Managing Director – Stifel</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Edward Russell, Managing Director – Tortoise Capital Advisors • J. Parker Roy, Senior Managing Director, Head of Business Development - Cushing Asset Management • Collin Bell, Managing Director - Goldman Sachs Asset Management • Jay Hatfield, President – Infrastructure Capital Advisors; Portfolio Manager - InfraCap



<p>3:25 PM – 3:45 PM</p>	<p>Enhanced Risk Adjusted Returns Using Options- based Strategies</p>	<p>Richard Rosenthal, Director, Business Development FTSE Russell Indexes - CBOE</p>
<p>3:45 PM – 4:05 PM</p>	<p>High-Yield Securities in Today's Market Environment</p>	<p>Paul McGinn, Vice President – First Trust Advisors</p>
<p>4:05 PM – 4:35 PM</p>	<p>BDCs – Financing America's Growth</p>	<p>Rich Kendrick, Co-Head of Equity Capital Markets and Senior Managing Director – Stifel</p>
<p>4:35 PM – 5:15 PM</p>	<p>ETF Industry Roundtable</p>	<p>Moderator: Doug Yones, Head of Exchange Traded Products – NYSE</p> <p>Panelists:</p> <ul style="list-style-type: none"> • David B. Mazza, Managing Director/Head of ETF & Mutual Fund Research – State Street Global Advisors • Abby Woodham, ETF Strategist – Deutsche Asset Management • Kristine Delano, Managing Director – NextShares Solutions LLC • David Sharp, ETF Product Manager – Vanguard
<p>5:20 PM – 6:30 PM</p>	<p>COCKTAIL RECEPTION</p>	