











7:30 AM – 7:55 AM	REGISTRATION					
	MORNING SESSIONS					
	WEST LOUNGE – 1 <sup>ST</sup> FLOOR					
7:55 AM – 8:00 AM	Welcome Remarks	Nicolas Bornozis, President & CEO – Capital Link, Inc.				
8:00 AM – 8:40 AM	Where is the Value Today in CEFs?  Market Perceptions Vs Fundamentals  Discussion on various asset classes; Market dislocation and fundamentals in the CEF; Higher Volatility & Outlook for Covered Calls CEFs; Where to invest today	Patrick Galley, CFA, Chief Investment Officer & Portfolio Manager     RiverNorth Capital Management LLC				

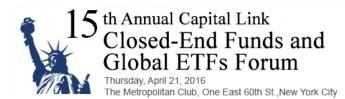
### **OPENING KEYNOTE ADDRESS**

8:40 AM - 9:00 AM



### THE CHANGING LANDSCAPE OF FINANCIAL ADVICE

Jim Ross, Executive Vice President - State Street Global Advisors (SSGA), Global Head of SPDR ETFs. He also serves as Head of US Intermediary Distribution, and is Chairman of SSGA Funds Management, Inc. (SSGA FM)



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WEST LOUNGE – 1 <sup>ST</sup> FLOOR			JAMES ROOM – 2 <sup>ND</sup> FLOOR		
9:00 AM – 9:20 AM	A New Dimension in ETF Investing John Hancock ETFs	Lukas Smart, Senior Portfolio Manager and Vice President – Dimensional Fund Advisors			Thomas Alonso, CFA, Vice
9:20 AM – 9:40 AM	Gold – The Original Alternative	Edward Coyne, Executive Vice President, National Sales - Sprott Asset Management USA, Inc.  Trey Reik, Senior Portfolio Manager - Sprott Asset Management USA, Inc.	9:00 AM -9:40 AM in the BDC Industry	President Investor Relations  - Prospect Capital  Corporation	
9:40 AM – 10:20 AM	Revitalizing IPOs & Secondary Market for CEFs Innovations & Market Support  Discuss innovation in	Moderator: Rose F. DiMartino, Partner  - Willkie Farr & Gallagher LLP  Panelists:  • Robert F. Bush, Jr., Senior Vice President, Director	9:40 AM – 10:20 AM	Trends in Closed- End Fund Leverage	Moderator: Greg Fayvilevich, Senior Director, Fund & Asset Manager Rating Group – Fitch Ratings  Panelists:  • Andrew Hanson, Managing Director –

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• Douglas Bond,



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		President, Portfolio Manager – Cohen & Steers  • Stephen Fox, Managing Director – Nuveen Investments • Jonathan Isaac, VP, Director of Product Management – Eaton Vance Management			Brian Knudsen,     Associate Director,     Fund & Asset     Manager Rating     Group – Fitch     Ratings
10:20 AM – 10:40 AM	European Equity Update	Christopher Warren, Managing Director – Deutsche Asset Management	10:20 AM – 11:00 AM	Advisor Panel – Bringing it all Together in Client Portfolios  Panel will discuss how advisors are incorporating CEFs and ETFs in their client's portfolio	Moderator: Elias Lanik, Senior Closed- End Fund Analyst – BofA Merrill Lynch Global Research  Panelists:  • Gregg T. Abella, AIF, Co-Principal – Investment Partners Asset Management • John Cole Scott, Chief Investment Officer – Closed-End Fund Advisors



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10:40 AM – 11:00 AM	Ireland - 'Brilliant and balanced' Recovery Keeps Outpacing the Rest	Noel O'Halloran, Director; Chief Investment Officer – Kleinwort Benson Investors Dublin Ltd			Jon Maier,     Managing Director,     Senior Portfolio     Manager – Merrill     Lynch ETF Model     Portfolios
		WEST LOUNGE	– 1 <sup>ST</sup> FLOOR		
11:00 AM – 11:30 AM	Fund Initiatives to Maximize Shareholder Value Product Management innovation and Shareholder Outcomes; Fund Consolidation; and Managing Discounts	Moderator: Alex Reiss, Director – Stifel  Panelists:  • Mike Taggart, Vice President, Director of Closed-End Fund Research – Nuveen Investments  • Renee Baker, Senior Manager, CEF Marketing & Investor Relations – Aberdeen Asset Management			
11:30 AM – 11:50 AM	Finding Safe, Stable yield in a Lower for Longer world	Aaron D. Peck, Chief Investme	ent Officer and Chief Fina	ncial Officer – <b>Monr</b> o	oe Capital Corporation

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11:50 AM – 12:10 PM	Convertible Securities as an Asset Class	F. Barry Nelson, Partner – Advent Capital Management, LLC	
12:10 PM – 12:50 PM	How Smart is SmartBeta?	Moderator: Michael Jabara, Head of ETF and Closed-End Fund Research – Morgan Stanley Smith Barney  Panelists:  • Arne Noack, Director, Exchange Traded Product Development – Deutsche Asset Management  • Lukas Smart, Portfolio Manager – Dimensional Fund Advisors  • Matthew Schwab, Managing Director - Goldman Sachs Asset Management	
		LUNCHEON KEYNOTE ADDRESS	
1:00 PM – 2:15 PM		Outlook for 2015: Adult Swim Only  Henry H. McVey, CIO of KKR's Balance Sheet and Head of Global Macro & Asset Allocation – KKR	
2:15 PM - 2:30 PM	CLOSED-END FUNDS, ETFs & ANALYST AWARDS		
AFTERNOON SESSIONS  WEST LOUNGE – 1ST FLOOR			
2:45 PM – 3:25 PM	MLPs: Energy Landscape & Beyond	Moderator: Selman Akyol, Managing Director – Stifel  Panelists:  • Edward Russell, Managing Director – Tortoise Capital Advisors  • J. Parker Roy, Senior Managing Director, Head of Business Development - Cushing Asset Management  • Collin Bell, Managing Director - Goldman Sachs Asset Management  • Jay Hatfield, President – Infrastructure Capital Advisors; Portfolio Manager - InfraCap	



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3:25 PM – 3:45 PM	Enhanced Risk Adjusted Returns Using Options- based Strategies	Richard Rosenthal, Director, Business Development FTSE Russell Indexes - CBOE	
3:45 PM – 4:05 PM	High-Yield Securities in Today's Market Environment	Paul McGinn, Vice President – First Trust Advisors	
4:05 PM – 4:35 PM	BDCs – Financing America's Growth	Rich Kendrick, Co-Head of Equity Capital Markets and Senior Managing Director – Stifel	
4:35 PM – 5:15 PM	ETF Industry Roundtable	Moderator: Doug Yones, Head of Exchange Traded Products – NYSE  Panelists:  • David B. Mazza, Managing Director/Head of ETF & Mutual Fund Research – State Street Global Advisors  • Abby Woodham, ETF Strategist – Deutsche Asset Management  • Kristine Delano, Managing Director – NextShares Solutions LLC  • David Sharp, ETF Product Manager – Vanguard	
5:20 PM – 6:30 PM	COCKTAIL RECEPTION		