

*HOW DISRUPTIVE TECHNOLOGY IS  
CHANGING THE FUTURE OF INVESTING*

# ABOUT US

## **About ALPS Portfolio Solutions**

*ALPS offers innovative investment solutions to help investors build better portfolios. The ALPS suite of investment products help investors diversify core portfolio positions through attractive investment themes, specifically with tactical, factor, and alternative investment strategies. ALPS leverages the expertise of alternative and specialty managers to provide unique investment solutions through exchange-traded funds, closed-end funds and open-end funds.*

# AGENDA

- *THE FUTURE IS CHANGING*
- *THEMATIC INVESTING REBORN*
- *KEYS TO THEMATIC INVESTING*
- *DISRUPTION IS EVERYWHERE*
- *WE BUILT AN ETF*

# *THE FUTURE IS CHANGING*

*The way we think about investing has changed.*

- *Technology*
- *The Millennial Generation*
- *Global Economics*

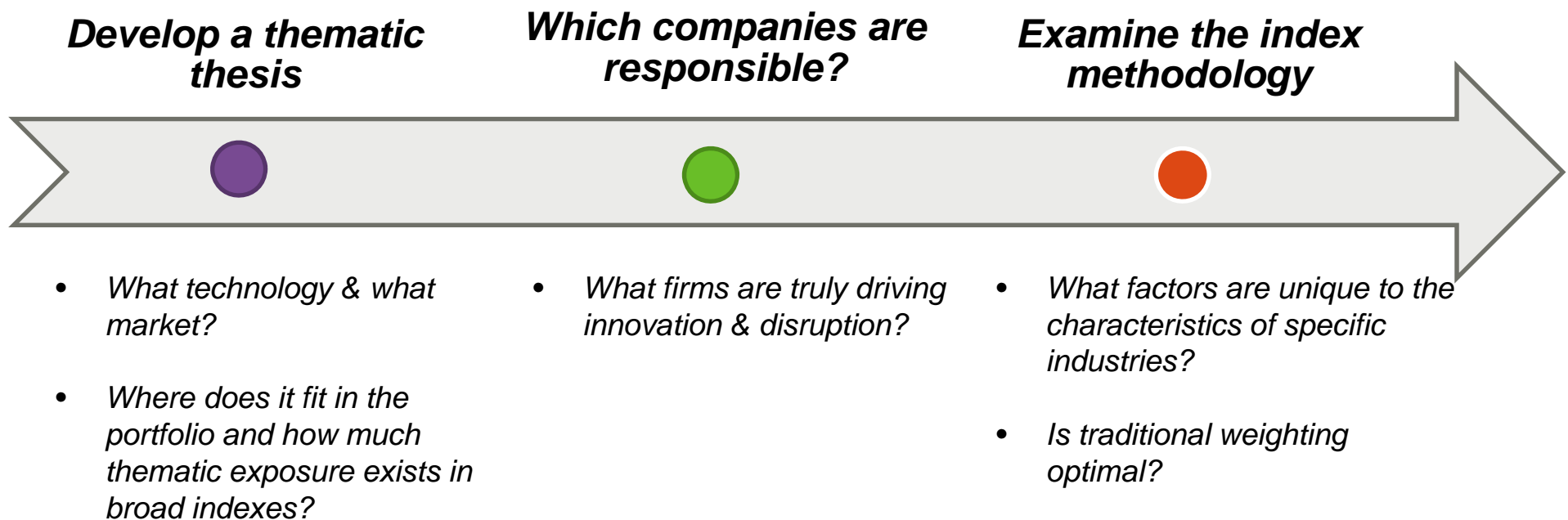
# *THEMATIC INVESTING REBORN*

*The world continues to change. Secular trends taking shape within an economy*

- *Demographic shifts*
- *Changes in government policy*
- *Disruptive technology*

*“A good hockey player plays where the puck is. A great hockey player plays where the puck is going to be.” - Wayne Gretzky*

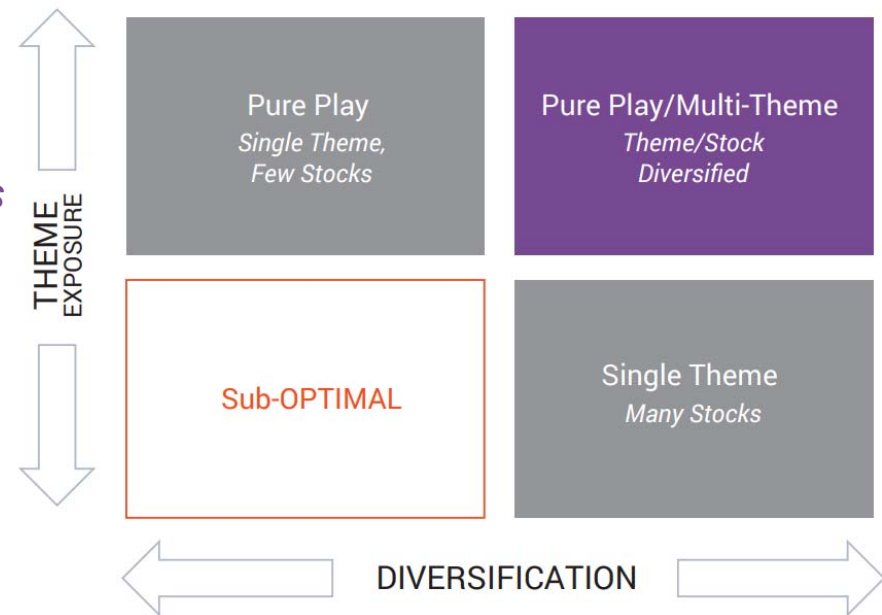
# KEYS TO SUCCESSFUL THEMATIC INVESTING



*A focused approach may lead to a more pure thematic exposure*

# KEYS TO SUCCESSFUL THEMATIC INVESTING

*When choosing a theme based ETF, investors face a tradeoff between pure thematic exposure and diversification.*



*We believe investors should not have to choose between pure thematic exposure and diversification.*

# *DISRUPTIVE THEMES ARE EVERYWHERE*

*Technological disruptions occurring in all corners of the market*



**Healthcare Innovation**



**Internet of Things (IoT)**



**Clean Energy & Smart Grid**



**Cloud Computing**



**Data and Analytics**



**FinTech**



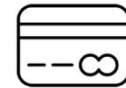
**Robotics & Artificial  
Intelligence**



**Cybersecurity**



**3D Printing**



**Mobile Payments**



# *BEWARE OF ROKO'S BASILISK*



## *Robotics & Artificial Intelligence*

*Benevolent future AI systems torture those who did not work to bring the system into existence. Merely hearing about the idea would give AI incentive to retroactively punish those who did nothing to develop such a being.*

# WE BUILT AN ETF

Annually identify the industries and business segments that are technology disruptors



Healthcare Innovation



Internet of Things (IoT)



Clean Energy & Smart Grid



Cloud Computing



Data and Analytics



FinTech



Robotics & Artificial Intelligence



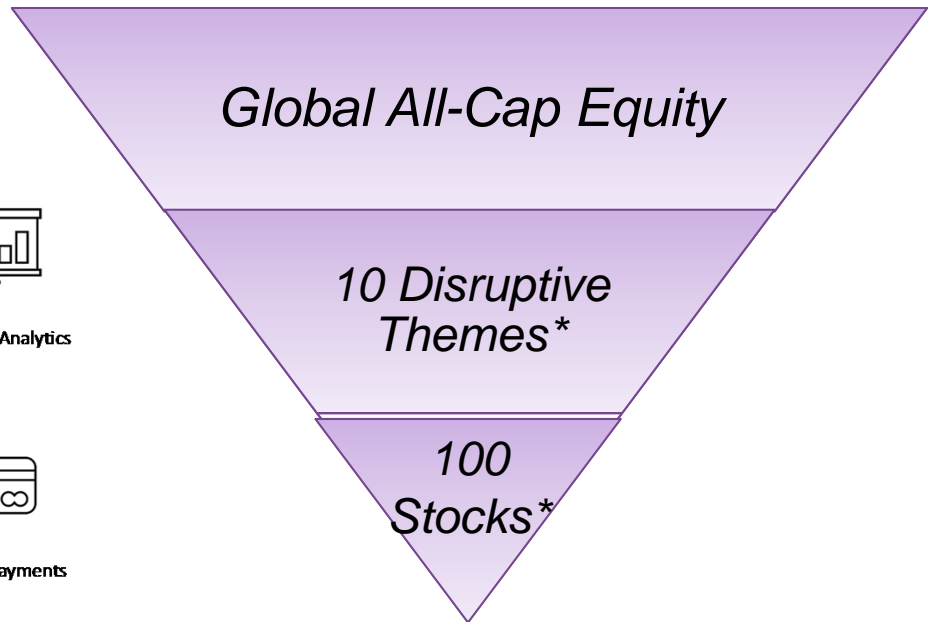
Cybersecurity



3D Printing



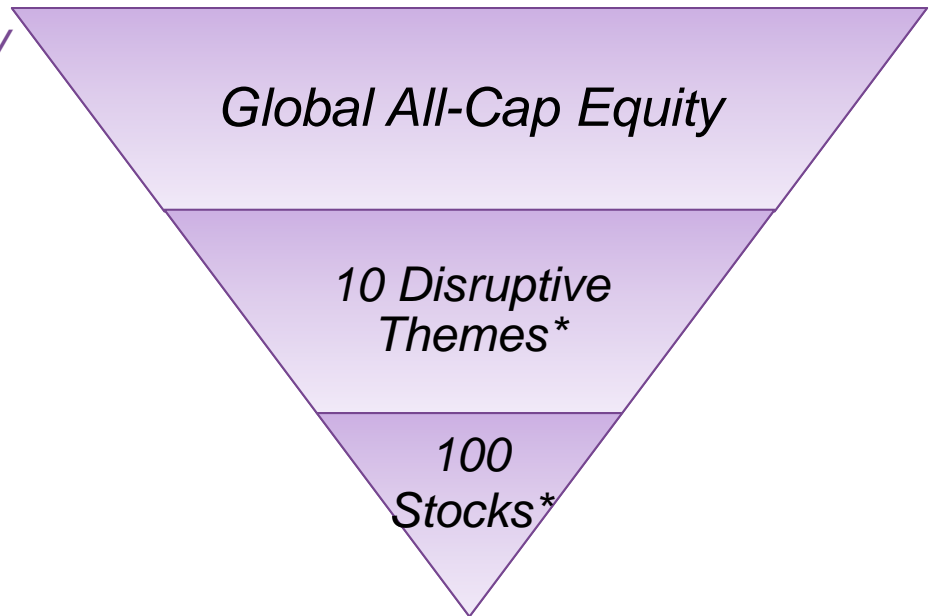
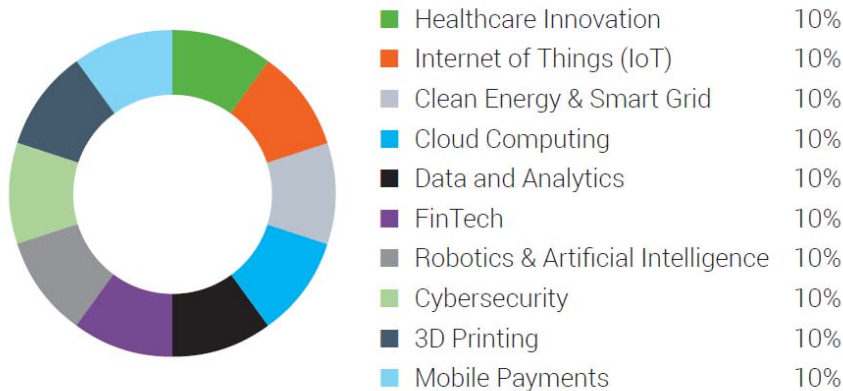
Mobile Payments



*\*Represents the fund as of 4/30/2018. Themes and underlying securities are evaluated periodically.  
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# DTEC - ALPS DISRUPTIVE TECHNOLOGIES ETF

*Equal stock and theme weighting methodology enhances diversification & increases opportunities*



*\*Represents the fund as of 4/30/18. Themes and underlying securities are evaluated periodically.*

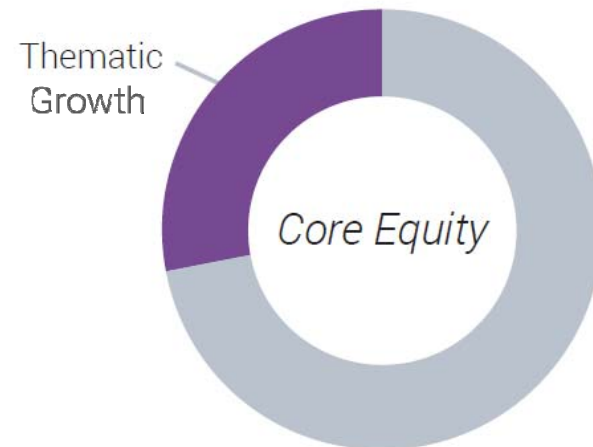
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# BACK TO THE MILLENNIAL GENERATION

*DTEC may enhance a core equity position by providing:*

- **Global All-cap Growth Exposure**
- **Access to Disruptive Themes**
- **\*Low Cost Tax Efficient ETF Structure**



*For hypothetical purposes only. \*Ordinary Brokerage fees apply. This notice is provided to you for informational purposes only, and should not be considered tax advice. Please consult your tax advisor for further assistance.*

12 *Institutional use only. Not for use with the retail public.*

# DTEC – ETF STATS

<b>Symbol</b>	DTEC
<b>Listing Exchange</b>	BATS
<b>CUSIP</b>	00162Q478
<b>ISIN</b>	US00162Q4780
<b>Inception Date</b>	12/29/17
<b>Dividends Paid</b>	Annually
<b>Total Net Assets</b>	\$18,200,000

<b>Management Fee</b>	<b>0.50%</b>
<b>Other Expenses</b>	<b>0.00%</b>
<b>Total Operating Expenses</b>	<b>0.50%</b>

13 *Source: ALPS as of 5/09/2018.  
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# *DTEC – ALPS DISRUPTIVE TECHNOLOGIES ETF*

- *Thematic Investing Matters*
- *Disruptive Technologies are Changing the Future*
- *Invest Across Multiple Technologies*

# DEFINITIONS & DISCLOSURES

***Important Disclosures & Definitions*** An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contain this and other information call 1.866.759.5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.

***ALPS Disruptive Technologies ETF Shares are not individually redeemable. Investors buy and sell shares of the ALPS Disruptive Technologies ETF on a secondary market. Only market makers or “authorized participants” may trade directly with the Fund, typically in blocks of 50,000 shares.***

*There are risks involved with investing in ETFs including the loss of money. Additional information regarding the risks of this investment is available in the prospectus.*

*An investment in the Fund is subject to investment risk including the possible loss of the entire principal amount that you invest.*

*Thematic Investing: Designed to participate in longer term technological disrupters, economic and political developments and social trends.*

*Thematic Alpha: The excess return of an investment relative to the return of a benchmark index that can be attributed to thematic investment strategies.*

*Pure Play: A company or strategy that focuses exclusively on a particular product or service in order to obtain a large market share*

# DEFINITIONS & DISCLOSURES

*Companies that the Index Provider believes are developing disruptive technologies may not in fact do so or may not be able to capitalize on those technologies. Companies that develop disruptive technologies may face political, legal or regulatory challenges. Such companies may also be exposed to risks applicable to industries or sectors other than the disruptive technology Theme for which they are chosen and may underperform relative to other companies that are also focused on a particular Theme.*

*Smaller and mid-size companies often have narrower markets, more limited managerial and financial resources and a less diversified product offering than larger, more established companies. As a result, their performance can be more volatile, which may increase the volatility of the Fund's portfolio.*

*The large capitalization companies in which the Fund invests may underperform other segments of the equity market or the equity market as a whole.*

*The Fund's investments in non-U.S. issuers may involve unique risks compared to investing in securities of U.S. issuers, including, among others, less liquidity generally, greater market volatility than U.S. securities and less complete financial information than for U.S. issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the U.S. dollar, which may affect the value of the investment to U.S. investors.*

*The fund is new and has limited operating history.*

*The large capitalization companies in which the Fund invests may underperform other segments of the equity market or the equity market as a whole.*



# DEFINITIONS & DISCLOSURES

*Indxx Disruptive Technologies Index (IDTEC): based around companies that enter traditional markets with new digital forms of production and distribution, are likely to disrupt an existing market and value network, displace established market leading firms, products and alliances and increasingly gain market share.*

*One may not invest directly in the index.*

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*The ALPS Disruptive Technologies ETF is distributed by ALPS Portfolio Solutions Distributor, Inc.*