



8:00 AM – 8:55 AM

DOORS OPEN – Network & Connect Virtually with Delegates

8:55 AM – 9:00 AM

Welcome Remarks

Nicolas Bornozis, President & CEO - Capital Link, Inc.

9:00 AM – 9:40 AM

CEF Industry Roundtable

Moderator: Mariana Fariña Bush, CFA, Research Director - CEFs & ETPs/Passive Products - Wells Fargo Investment Institute

Panelists:

- **Robert F. Bush, Jr., Senior Vice President - Calamos Investments**
- **Jonathan Isaac, Director of Product Management - Eaton Vance**
- **Dave Lamb, Head of Closed-End Funds - Nuveen**

9:45 AM – 10:25 AM

ETF Industry Roundtable

Moderator: Douglas Yones, Head of Exchange Traded Products - New York Stock Exchange

Panelists:

- **Jason Ronca, ETF Capital Markets Specialist - BNY Mellon**
- **Luke Oliver, Managing Director, Head of Index Investing, Americas - DWS**
- **Greg Friedman, Head of ETF Management and Strategy - Fidelity Investments**
- **Jon Zimmerman, Chief Operating Officer - IndexIQ**

10:30 AM - 11:10 AM

Alternative Income Generation Strategies

REITs, Real Estate Interval Funds, Preferreds & Convertibles

Moderator: John F. Duggan, Vice President - Morgan Stanley Wealth Management

Panelists:

- **Svitlana Gubriy, Head of Global REIT Funds - Aberdeen Standard Investments**
- **Barry Nelson, CFA, Partner, Senior Advisor - Advent Capital Management, LLC**
- **Collin Bell, Managing Director, Global Head of Client Portfolio Management, Fundamental Equity - Goldman Sachs Asset Management**

11:10 AM – 11:40 AM

COFFEE & NETWORKING BREAK

11:40 AM – 12:00 PM

Keynote Address



How The Global Pandemic Has Affected The ETF Industry: Five Key Themes

Susan Thompson

EVP, Head of Americas Distribution for SPDR ETFs

State Street Global Advisors

12:05 PM – 12:45 PM

Analysts Roundtable

Moderator:

Robert F. Bush, Jr., Senior Vice President - **Calamos Investments**

Panelists:

- **Michael Jabara**, Managing Director and Head of ETF & CEF Research - **Morgan Stanley Wealth Management**
- **Alex Reiss**, Director - **Stifel**
- **Mariana Fariña Bush, CFA**, Research Director - CEFs & ETPs/Passive Products - **Wells Fargo Investment Institute**

12:50 PM – 1:10 PM

The YieldStreet Prism Fund

Michael Weisz, Founder & President - **YieldStreet**

1:15 PM – 1:55 PM

Use of Leverage in CEFs

Moderator: Greg Fayvilevich, Senior Director - **Fitch Ratings**

Panelists:

- **John Brown**, Managing Director, Private Placements - **Barings**
- **Christopher Larsen**, Senior Product Manager, Global Alliances and New Business Strategies - **Franklin Templeton**
- **Amit Jain**, Managing Director, Financial Institutions Group - **Nomura Securities**

2:00 PM – 2:40 PM

Rebirth of New CEFs Brought to Market & Looking Ahead

Moderator: Clifford R. Cone, Partner - **Clifford Chance US LLP**

Panelists:

- **Finbar Ward**, Vice President - **Morgan Stanley Wealth Management**
- **William Meyers**, Senior Managing Director - **Nuveen**
- **Patrick Galley**, CIO - **RiverNorth Capital**
- **Mark A. Charles**, Director, Equity Capital Markets - **Wells Fargo Securities, LLC**

2:45 PM – 3:45 PM

“Industry Leaders on Challenges & Opportunities” A Roundtable Discussion among CEOs

Moderator

Panelists



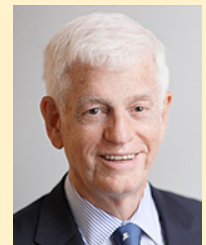
John S. Koudounis
CEO - **Calamos Investments**



Tracy Maitland
President and Chief Investment Officer - **Advent Capital Management, LLC**



John P. Calamos
Founder, Chairman and Global CIO - **Calamos Investments**



Mario Gabelli, CFA
Chairman & Chief Executive Officer - **GAMCO Investors, Inc. and LICOR Corp**

3:45 PM – 4:45 PM

VIRTUAL NETWORKING SESSION