



PRESS RELEASE

“19th Annual Digital Closed–End Funds & Global ETFs Forum” THURSDAY, SEPTEMBER 10, 2020 – DIGITAL CONFERENCE 8:00 AM – 4:00 PM EST

September 2nd, 2020

Capital Link’s **19th Annual Closed–End Funds & Global ETFs Forum** will take place on **September 10, 2020**, as a digital event in cooperation with **New York Stock Exchange – NYSE**. **Calamos Investments** is the **Lead Sponsor**.

With a 19–year track record, Capital Link’s Annual Closed–End Funds & Global ETFs Forum is the longest running investment forum with significant branding and recognition in the industry. It updates the broader investment community on the latest trends, developments and investment strategies using Closed–End Funds, MLPs, BDCs, and Exchange Traded Funds.

This conference brings together financial advisors, institutional investors, RIAs, private bankers, financial planners, fund and asset managers, analysts, and financial media utilize this forum not only as a resource for sharing and evaluating the latest CEF and ETF products and trends, but also as an interactive platform for enhancing visibility and establishing the right connections.

The event will focus on topics of critical interest for the CEF and ETF space and also on investment strategies involving CEFs & ETFs.

It is a privilege to have three industry gurus participating at a roundtable discussion and sharing their unique insight on the current investment climate and its outlook, as well as on the developments in the CEF & ETF space: Tracy Maitland, President and Chief Investment Officer of Advent Capital Management, LLC, **John P. Calamos**, Founder, Chairman and Global CIO of Calamos Investments and **Mario Gabelli**, CFA, Chairman & Chief Executive Officer of GAMCO Investors, Inc. and LICT Corp. The session will be moderated by **John Koudounis**, CEO of Calamos Investments.

The **KEYNOTE ADDRESS** will be delivered by **Ms. Susan Thompson**, EVP, Head of SPDR Americas Distribution of **State Street Global Advisors**.

Finally, as is tradition, the forum will feature speakers from the top firms dominating the investment space: Aberdeen Standard Investments, Advent Capital Management, LLC, BNY Mellon, Calamos Investments, Clifford Chance US LLP, DWS, Eaton Vance, Fidelity Investments, Fitch Ratings, GAMCO Investors, Goldman Sachs Asset Management, IndexIQ, Morgan Stanley Wealth Management, Nuveen Investments, Stifel, Wells Fargo Investment Institute, YieldStreet and more.

Who Should Attend?

Institutional Investors • Registered Investment Advisors • Financial Advisors • Financial Planners • Private Bankers • Securities Analysts • Retail and Institutional Brokers • CEF Industry Specialist & Analysts • ETF Industry Specialists & Analysts • Financial Press & Media

Registration is complimentary.

This Forum has been approved for 5.5 CFP CE Credits.

Capital Link: New York – London – Oslo – Athens – Limassol – Shanghai – Singapore – Tokyo – Hong Kong

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INTERACT LIVE ONLINE IN REAL TIME WITH OUR FORUM SPEAKERS & PARTICIPANTS!

- **LIVE PRESENTATIONS/PANEL SESSIONS WILL BE DELIVERED IN VIDEO FORMAT**
 - View and listen to our Speakers from across the world!
 - Live Q&A
- **EXHIBITION HALL WITH DIGITAL BOOTHS**
 - Visit our Sponsor booths to obtain the latest industry and financial reports, white papers, company videos, latest presentations and more
 - All materials can be saved into your briefcase and reviewed at your convenience
 - Chat with company representatives in their booths
- **LIVE VIDEO/AUDIO/CHAT NETWORKING IN REAL TIME**
 - Network with your favorite speakers, sponsors and participants in real time!
 - Visit the networking lounge to exchange ideas and to meet new contacts or say Hi to old friends

DOORS OPEN 8:00 AM – 8:55 – Network & Connect Virtually With Delegates

WELCOME REMARKS: Mr. Nicolas Bornozis, President & CEO – Capital Link

PANEL & PRESENTATION TOPICS

CEF Industry Roundtable

9:00 AM – 9:40 AM

Moderator: Mariana Fariña Bush, CFA, Research Director – CEFs & ETPs/Passive Products – Wells Fargo Investment Institute

Panelists:

- **Robert F. Bush, Jr.**, Senior Vice President – **Calamos Investments**
- **Jonathan Isaac**, Director of Product Management – **Eaton Vance**
- **Dave Lamb**, Vice President – **Nuveen Investments**

ETF Industry Roundtable

9:45 AM – 10:25 AM

Moderator: Douglas Yones, Head of Exchange Traded Products - New York Stock Exchange

Panelists:

- **Jason Ronca**, ETF Capital Markets Specialist – **BNY Mellon**
- **Luke Oliver**, Managing Director, Head of Index Investing, Americas – **DWS**
- **Greg Friedman**, Head of ETF Management and Strategy – **Fidelity Investments**
- **Jon Zimmerman**, Chief Operating Officer – **IndexIQ**

Alternative Income Generation Strategies

REITs, Real Estate Interval Funds, Preferreds & Convertibles

10:30 AM - 11:10 AM

Moderator: John F. Duggan, Vice President – Morgan Stanley Wealth Management

Panelists:

- **Svitlana Gubriy**, Head of Global REIT Funds – **Aberdeen Standard Investments**
- **Barry Nelson**, CFA, Partner, Senior Advisor – **Advent Capital Management, LLC**
- **Collin Bell**, Managing Director, Global Head of Client Portfolio Management, Fundamental Equity – **Goldman Sachs Asset Management**

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KEYNOTE ADDRESS

11:40 AM – 12:00 PM

Susan Thompson, EVP, Head of Americas Distribution for SPDR ETFs – **State Street Global Advisors**

Analysts Roundtable

12:05 PM – 12:45 PM

Moderator: Robert F. Bush, Jr., Senior Vice President – **Calamos Investments**

Panelists:

- **Michael Jabara**, Managing Director and Head of ETF & CEF Research – **Morgan Stanley Wealth Management**
- **Alex Reiss**, Director – **Stifel**
- **Mariana Fariña Bush**, CFA, Research Director – CEFs & ETPs/Passive Products – **Wells Fargo Investment Institute**

The YieldStreet Prism Fund – An entirely new Closed End Fund

12:50 PM – 1:10 PM

The section remarks will be delivered by **Michael Weisz**, Founder & President – **YieldStreet**.

Use of Leverage in CEFs

(Organized by Fitch Ratings)

1:15 PM – 1:55 PM

Greg Fayvilevich, Senior Director – **Fitch Ratings**

Rebirth of New CEFs Brought to Market & Looking Ahead

2:00 PM – 2:40 PM

Moderator: Clifford R. Cone, Partner – **Clifford Chance US LLP**

Panelists:

- **Finbar Ward**, Vice President - **Morgan Stanley Wealth Management**
- **William Meyers**, Senior Managing Director – **Nuveen Investments**
- **Mark A. Charles**, Director, Equity Capital Markets – **Wells Fargo Securities, LLC**

“Industry Leaders on Challenges & Opportunities”

A Roundtable Discussion among CEOs

2:45 PM – 3:45 PM

Moderator: John Koudounis, CEO – **Calamos Investments**

Panelists:

- **Tracy Maitland**, President and Chief Investment Officer – **Advent Capital Management, LLC**
- **John P. Calamos**, Founder, Chairman and Global CIO – **Calamos Investments**
- **Mario Gabelli**, CFA, Chairman & Chief Executive Officer – **GAMCO Investors, Inc. and LICT Corp**

Thank you for attending – Networking Reception

A 1-hour virtual networking reception will close the evening

THE CONFERENCE IS ORGANIZED:

In cooperation with: New York Stock Exchange - NYSE

SPONSORS:

LEAD SPONSOR: Calamos Investments

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SUPPORTING ORGANIZATION: Closed-End Fund Association, Inc. – CEFA • QWAFEFW

MEDIA PARTNERS: Financial Advisor – FA • Hedge Fund Alert

MUSIC BY: Menelaos Kanakis www.kanakismenelaos.com

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For sponsorship opportunities, please contact Nicolas Bornozis or Anny Zhu at forum@capitallink.com or call +1 (212) 661–7566.

Or please visit:

<http://forums.capitallink.com/cef/2020/index.html>

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ORGANIZERS

Founded in 1995, Capital Link is a New York based investor relations, financial communications and advisory firm with a strategic focus on the maritime, commodities and energy sectors, MLPs, as well as Closed-End Funds and ETFs. Based in New York City, Capital Link has presence in London, Athens & Oslo. Capital Link is a member of the Baltic Exchange and works very closely with the New York Stock Exchange, NASDAQ and the London Stock Exchange as well as with major international and supranational organizations and industry associations in the areas of the firm's strategic concentration.

Our proactive approach, which integrates **Investor Relations, Information Technology and Media**, enhances awareness and branding for our clients through tailored outreach programs targeting analysts, institutional and individual investors and the financial media complemented by extensive and uniquely powerful marketing platforms. **Capital Link offers a full suite of services including strategic and corporate advisory, investor relations, media relations, public and industry relations and the organization of corporate events. Capital Link is also known for the organization of large scale, high quality Investment Forums** focusing on maritime transportation and U.S. investment products in key industry centers, such as **New York, London, Athens, Limassol, Shanghai, Singapore, Tokyo and Hong Kong**. We organize a series of conferences annually, of which the majority are focused on the maritime sector. **The Capital Link Investment Forums** feature industry leaders and draw the elite of the global financial and investment communities. The **Capital Link brand** is widely-recognized and valued worldwide by participants in these communities for combining rich informational and educational content with as well as superior networking opportunities. In addition to conferences, **Capital Link organizes Webinars** focusing on investment strategies, sectors, critical topics of interest to the investment community and company presentations. Capital Link's **global marketing platform** enhances the visibility and reach of these events on a global scale that lasts well beyond the date on which each event is held, becoming a continuous reference point for market participants.

Capital Link's efforts have been recognized by the 2011 Lloyds's List Greek Shipping Awards, in 2012 and 2013 by the InterContinental Finance Magazine and in 2016 by the Wealth & Finance Magazine, Also, by the International Propeller Club of the United States and AHI–American Hellenic Institute.