



2nd Annual Capital Link Dissect ETFs Forum

Tuesday, October 13, 2015
The Metropolitan Club, One East 60th St., New York City



IN COOPERATION WITH



7:30 AM – 7:55 AM	REGISTRATION	
7:55 AM – 8:00 AM	Welcome Remarks	Nicolas Bornozis, President – Capital Link, Inc.
8:00 AM – 8:50 AM	<p>The Evolution of the ETF Marketplace <i>ETF Asset Classes, Active vs Passive, New Product Development</i></p>	<p>Moderator: Michael Rawson, CFA, Analyst, Manager Research, Equity Strategies – Morningstar, Inc.</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Kristine Delano, Managing Director – Navigate Fund Solutions LLC • Robert Forsyth, Senior Business Development Manager - State Street Global Advisors • Dominic Maister, Head of iShares ETF Due Diligence - BlackRock
8:50 AM – 9:40 AM	ETF Trading & Liquidity	<p>Moderator: Robert J. Marrocco, Director, Exchange Traded Products – BATS Global Markets</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Haddon Kirk, Head of ETF Trading – UBS Investment Bank • Reggie Browne, Senior Managing Director – Cantor Fitzgerald & Co. • Robert Bernstone, Managing Director and Head of Index/ETF Trading – Credit Suisse
9:40 AM – 10:30 AM	<p>Better Beta? <i>Strategic – Advanced – Smart – Factor Investing or What?</i></p>	<p>Moderator: Eric Balchunas, ETF Analyst - Bloomberg</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Paul Baiocchi, Director Sector Investment Strategy – Fidelity Investments • Ogden Hammond, Executive Director, Head of ETF Strategy & Business Development – J.P. Morgan Asset Mgmt. • Michael Akins, Senior Vice President, Director of Index Mgmt. – ALPS ETF Trust
10:30 AM – 11:00 AM	NETWORKING COFFEE BREAK	
11:00 AM – 11:50 AM	The Evolution of Fixed Income ETFs	<p>Moderator: Jared Murphy, Managing Director – BlackRock</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Andrew McCollum, Managing Director, Investment Management – Greenwich Associates • Steve Laipply, Director, Model-Based Fixed Income Portfolio Strategist - BlackRock

<p>11:50 AM – 12:40 PM</p>	<p>The Changing Landscape of the Advisor Practice <i>Adapting to the new wave of advisor – client demographics (robo advisors, transfer of wealth, millennials, women investing)</i></p>	<p>Moderator: Chris Dieterich, Funds Editor and Staff Writer – Barron’s</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jon Maier, Managing Director, Senior Portfolio Manager – Merrill Lynch ETF Model Portfolios • Brie Williams, Vice President & Head of Practice Management - State Street Global Advisors • Jon Stinson, Executive Director – Morgan Stanley Wealth Management
<p style="text-align: center;">LUNCHEON KEYNOTE ADDRESS</p> <div style="display: flex; justify-content: space-around; align-items: center;">   </div> <p style="text-align: center;">Richard Bernstein, CEO/CIO – Richard Bernstein Advisors LLC</p>		
<p>2:20 PM – 3:10 PM</p>	<p>Investing Internationally Through ETFs</p>	<p>Moderator: John F. Duggan, Vice President & Analyst – Morgan Stanley Wealth Management</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Tim Devlin, Executive Director, Global Equities – J.P. Morgan Asset Mgmt. • Rod Jones, Head of North America – STOXX Ltd. • Charles Biderman, Chairman of the Board & Founder - TrimTabs
<p>3:10 PM – 4:00 PM</p>	<p>Alternative/Yield Investing Strategies</p>	<p>Moderator: David Perlman, ETF Strategist – UBS</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jay Hatfield, President and Co-founder – Infrastructure Capital Advisors, LLC; Portfolio Manager – InfraCap MLP ETF • Dan Colluccio, National Sales Manager - WBI • Jeremy Goff, Vice President, Strategic Ventures - Tortoise Index Solutions
<p>4:00 PM – 4:50 PM</p>	<p>ETF Outlook: What’s Next?</p>	<p>Moderator: Stuart Strauss, Partner – Dechert LLP</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Kevin Quigg, Global Head of ETF Sales Strategy - State Street Global Advisors • Tom Champion, Managing Director – Global Index and Exchange Traded Products – NYSE • Deborah Fuhr, Managing Partner, Co-Founder - ETFGI
<p>5:00 PM – 6:00 PM COCKTAIL RECEPTION</p>		