



EST TIME ZONE

WELCOME

10:55 - 11:00 am Welcome Remarks

Mr. Nicolas Bornozis, President - **Capital Link, Inc.**

11:00 - 11:40am CEF Industry Roundtable

Moderator: Mariana Fariña Bush, CFA, Research Director - CEFs & ETPs/Passive Products - Wells Fargo Investment Institute

Panelists:

- **Robert F. Bush, Jr.**, Senior Vice President, Director of Closed-End Fund Products - **Calamos Investments**
- **Jonathan Isaac**, Director of Product Management - **Eaton Vance**
- **Parth Doshi**, Vice President, Closed-End Fund Product Management and Development - **Nuveen**

11:45 - 12:25pm Alternatives & Real Assets

REITs, MLPS, Preferreds & Convertibles

Moderator: Alexander Reiss, Director - **Stifel**

Panelists:

- **Barry Nelson**, CFA, Partner, Senior Advisor – **Advent Capital Management**
- **Ryan Sullivan**, CFA, Co-Head of Real Assets - **abrdrn**
- **Matthew Sallee**, CFA, Sr. Managing Director & Sr. Portfolio Manager - **TortoiseEcofin**
- **Paulo Almeida**, CIO - **Tufton Investment Management**

12:30 - 1:10pm New CEFs Brought to Market & Looking Ahead

Moderator: Jefferey D. LeMaster, Partner - **CLIFFORD CHANCE US LLP**

Panelists:

- **Stephen Minar**, Director - **BlackRock**
- **Finbar Ward**, Vice President - **Morgan Stanley Wealth Management**
- **Dave Lamb**, Sr. Managing Director, Head of Closed-End Funds - **Nuveen**

1:15 - 1:55pm The Use of Leverage

Moderator: Peter Gargiulo, Director - **Fitch Ratings**

Panelists:

- **Kimberly Green**, Analyst - **Fitch Ratings**
- **Nate Jones**, CFA, Senior Managing Director, Head of Fund Finance and Treasury - **Nuveen**
- **Mark B. Quaglia**, Director - **TD Prime Services LLC**

2:00 - 2:40pm BDCs Roundtable - Financing America's Growth

Moderator: Allen G. Laufenberg, CFA, Managing Director, Investment Banking - **KEEFE, BRUYETTE & WOODS / Stifel**



Panelists:

- **Jim Labe**, Co-CEO & Co-Founder - **TriplePoint Capital**
- **Steve Brown**, Chairman, CEO & Founder - **Trinity Capital Inc.**
- **Rob Pomeroy**, Chief Executive Officer, Chairman & Co-Founder - **Horizon Technology Finance Corporation**

2:45 - 3:05pm

Keynote Address - Multi-Year Trends: Energy Transition and "Crypto" Beyond Bitcoin



Jan Van Eck
President and Chief Executive Officer
Van Eck Associates Corporation

3:10 - 3:50pm

ETF Industry Roundtable

Moderator: Douglas Yones, *Head of Exchange Traded Products* - **New York Stock Exchange**

Panelists:

- **Arne Noack**, Head of Systematic Investment Solutions - Americas - **DWS**
- **Paul Kim**, CEO and Cofounder - **Simplify ETFs**
- **Gunjan Chauhan** - Senior Managing Director, Global Head of SPDR Capital Markets - **State Street Global Advisors**

3:55 - 4:35pm

Analyst Roundtable

Moderator: Mr. Robert F. Bush, Jr., Senior Vice President, Director of Closed-End Fund Products - **Calamos Investments**

Panelists

- **Mariana Fariña Bush, CFA**, *Research Director - CEFs & ETPs/Passive Products* - **Wells Fargo Investment Institute**
- **Michael Jabara**, *Managing Director and Head of ETF and Closed-End Fund Research* - **Morgan Stanley Wealth Management**
- **Alexander Reiss**, *Director* - **Stifel**
- **Mike Taggart, CFA**, CEO & Head of CEF Insights - **Taggart Fund Intelligence, LLC**

4:40 - 5:20pm

"Industry Leaders on Challenges & Opportunities"
A Roundtable Discussion among CEOs

Moderator: John S. Koudounis, *CEO* - **Calamos Investments**

Panelists:

- **John P. Calamos**, *Founder, Chairman and Global CIO* - **Calamos Investments**
- **Mario Gabelli, CFA**, Chairman & Chief Executive Officer - **GAMCO Investors, Inc. and LICT Corp**



Annual Capital Link
Closed-End Funds and
Global ETFs Forum

Tuesday, October 5, 2021
Digital Conference

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End of Forum - Thank you for Joining Us
