



3rd Annual Capital Link Dissect ETFs Forum

Thursday, September 29, 2016
The Metropolitan Club, One East 60th St., New York City



IN COOPERATION WITH



This Forum has been accepted for 7 CFP/CIMA/CPWA CE Credits.

7:30 AM – 8:00 AM	REGISTRATION	
MORNING SESSIONS – WEST LOUNGE (1 ST FLOOR)		
8:00 AM – 8:50 AM	Creating Alpha – Innovation in ETFs	<p>Moderator: Matt Hougan, CEO – Inside ETFs</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jamie Wise, President & CEO – Periscope Capital; Founder – Buzz Indexes • Steven Vannelli, CFA, CEO & CIO – Gavekal Capital • Catherine D. Wood, Chief Executive Officer/Chief Investment Officer – ARK Investment Management LLC
8:50 AM – 9:40 AM	Changing Landscape of Bond ETFs	<p>Moderator: Dave Mazza, Head of ETF & Mutual Fund Research – State Street Global Advisors</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Michael Anderson, Managing Director – GSO • Marcel Benjamin, Fixed Income ETF Product Specialist – State Street Global Advisors
9:40 AM – 10:10 AM	NETWORK & COFFEE BREAK	
10:10 AM – 11:00 AM	ETF Strategies in Today's Volatile Market	<p>Moderator: Eric Balchunas, ETF Analyst – Bloomberg Intelligence</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Bob Smith, President & CIO – Sage Advisory • Will McGough, Portfolio Manager – Stadion Money Management • Dr. Vinay Nair, Co-Founder – 55 Capital
11:00 AM – 12:00 PM	ETF Trading: Exchanges, Listing of ETFs, and Liquidity	<p>Moderator: Beverly Goodman, Assistant Managing Editor – Barron's</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Ed Rosenberg, Director and Head of ETF Capital Markets & Analytics – Northern Trust's FlexShares • Dean Kordalis, Managing Director – Cantor Fitzgerald • Doug Yones, Head of Exchange Traded Products – NYSE • Bryan Harkins, Executive Vice President, Head of US Markets – Bats Global Markets



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<p>12:15 PM – 1:45 PM</p>	<p align="center">LUNCHEON KEYNOTE ADDRESS</p> <p align="center"><i>The Future of ETFs: Where Are the Growth Opportunities</i></p> <div align="center" data-bbox="857 373 1062 604"> </div> <p align="center">Lee Kranefuss, Executive Chairman – Source; Co-Founder – 55 Capital</p>	
<p>2:00 PM – 3:00 PM</p>	<p align="center">Smart Beta and Multi Factor ETFs Investing</p>	<p>Moderator: Jon Maier, Managing Director, Senior Portfolio Manager – Merrill Lynch ETF Model Portfolios</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Tim Devlin, Managing Director, Global Equities - JP Morgan Asset Management • Matt Goulet, Vice President, Sector and ETF Investment Strategy – Fidelity Investments • Lukas Smart, Senior Portfolio Manager and Vice President – Dimensional Fund Advisors • Brandon Rakszawski, Product Manager - VanEck
<p>3:00 PM – 3:50 PM</p>	<p align="center">Chasing Yield MLP ETF Investing</p>	<p>Moderator: Christopher Baxter, Vice President – Morgan Stanley</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Jeremy Goff, Director, Strategic Ventures – Tortoise Index Solutions • Jay Hatfield, President – Infrastructure Capital Advisors; Portfolio Manager – InfraCAP • Emily Hsieh, CFA, Director of Global Operations – Alerian
<p>3:50 PM – 4:50 PM</p>	<p align="center">Commodities ETF Investing</p>	<p>Moderator: David Perlman, ETF Research - UBS</p> <p>Panelists:</p> <ul style="list-style-type: none"> • Kevin Baum, CFA, CAIA, CIO – USCF • Trey Reik, Senior Portfolio Manager – Sprott Asset Management USA • Greg Collett, Director of Investment Product – World Gold Council • Maxwell Gold, Director, Investment Strategy - ETF Securities
<p>5:00 PM – 6:00 PM</p>	<p align="center">COCKTAIL RECEPTION</p>	